

Dolmen Stockbrokers

Execution Only Account Opening Document Share Scheme

HOW TO PROCEED

Application Form Complete the attached form in single or joint names. Please take time to read our Terms and Conditions which are enclosed.

Identification Anti-money Laundering Rules require that we receive a certified* copy of your driving licence or passport and 2 original/certified copies of utility bills not more than 6 months old.

Payment If you wish to purchase shares please make cheque or draft payable to Pershing Securities International Ltd. or alternatively refer to the Terms and Conditions booklet which sets out our bank details for wire transfers.

Post/Delivery Return completed Account Opening Document and identification to:

Dublin: Share Scheme Service
Dolmen Stockbrokers
75 St Stephen's Green
Dublin 2

Queries/Advice Call your broker on:

Dublin: +353 1 633 3650

Cork: +353 21 422 2122

Email Queries to Client Services at clientupdate@dsl.ie

**Must be stamped and certified by a member of An Garda Siochana, a bank official, a solicitor, an accountant or a member of the judiciary. Alternatively, if you are attending Dolmen's offices your broker can certify your identification.*

www.dolmenstockbrokers.ie

Execution Only Account Opening Document Share Scheme Individual Account Application Form - Execution Only Service



Existing Client Number (if applicable)

1. Personal Details (PLEASE USE BLOCK CAPITALS)

Self

Title Mr Mrs Ms Miss

Surname

Forename(s)

PPS Number

Date of Birth

Place of Birth

Nationality

Provisional retirement date (if known)

Second party if joint account

Title Mr Mrs Ms Miss

Surname

Forename(s)

PPS Number

Date of Birth

Place of Birth

Nationality

Provisional retirement date (if known)

2. Contact Details (PLEASE USE BLOCK CAPITALS)

Self

Home Address

Telephone Numbers and Email Address

Home

Mobile

Office

Fax

Email

For correspondence purposes, Dolmen will use the email address of the first party

Contact Name and Tel No.(in the event that you cannot be contacted)

Correspondence Address (if different from above)

Second party if joint account

Home Address

Telephone Numbers and Email Address

Home

Mobile

Office

Fax

Email

Contact Name and Tel No.(in the event that you cannot be contacted)

Correspondence Address (if different from above)

3. Bank Details (PLEASE USE BLOCK CAPITALS)

Bank Name

Bank Address

Account Name

IBAN* BIC

*Your IBAN includes your account number and sort code and is an international standard for identifying bank accounts across national borders.This is detailed on your bank statement.



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4. Knowledge and Experience

A. Financial Instruments

Please indicate your investment experience in relation to the following instruments:

	NONE	BASIC	GOOD	EXTENSIVE	NUMBER OF YEARS EXPERIENCE	NUMBER OF TRADES PER ANNUM
Shares	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Corporate Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Government Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Investment Funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

Where you have dealt previously has this service been

- Execution Only (no broker input)
 Advisory (broker advises you decide)
 Discretionary/managed (broker agrees investment parameters and has discretion to transact)

B. Derivatives

You would consider using derivatives

For hedging - to reduce risk or increase income but not as a tool to increase risk. Yes No

For speculation - understanding that you may lose part or all of your capital so invested. Yes No

Please indicate your investment experience in relation to the following instruments:

	NONE	BASIC	GOOD	EXTENSIVE	NUMBER OF YEARS EXPERIENCE	NUMBER OF TRADES PER ANNUM
CFDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Warrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

Where you have dealt previously has this service been

- Execution Only
 Advisory
 Discretionary/managed

Relevant Experience

Are you a qualified investment professional or member of a relevant professional investment body Yes No

If yes please provide details

Is there any other reason why we should deem you to be a professional investor

Source of Wealth/Funds

Please indicate your source of wealth (this refers to how you have accumulated your wealth)

- Salary
 Inheritance
 Savings
 Redundancy
 Sale of Shares
 Sale of Property
 Other

If other please specify:

Please indicate your source of funds (this refers to the funds provided for investment with Dolmen)

- Mature Deposit
 Savings Account
 Proceeds of asset sale
 Transfer from investment provider
 Other

If other please specify:



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5. Additional Services

Dividends

Unless you specify otherwise you will receive cash dividends. Alternatively if you wish to receive stock dividends (where available) please tick this box

Research Information

Dolmen, as part of our service, will send you our daily research e-mails, text message and mailings on investment opportunities. Please tick the service you wish to receive

- Daily text of closing prices
- Daily Research email
- Weekly Research email
- Technical Research email
- Regular mailings on investment opportunities

Online Access

Would you like "view only" online access to your Dolmen Account? Yes

This will allow you to view your account statement and valuation online.

6. Acknowledgement

In signing this document it will constitute a contract, both legally binding and enforceable. If you have any queries about any aspect of the account opening documentation please contact Dolmen for clarification or, if you think it appropriate to do so, obtain independent legal advice.

The undersigned hereby acknowledges that:

- (a) I/We have carefully read, acknowledge and understand the terms of the below listed documentation which I/we have been presented with and have had an opportunity to consider. I/We hereby agree that by signing this acknowledgement that I/we will be bound by all terms and conditions contained in the following documents:
 - 1. Execution Only Account Opening Document
 - 2. Terms and Conditions booklet:
 - i. Terms and Conditions of Service
 - ii. Conflicts of Interest Policy
 - iii. Order Execution Policy
 - iv. Information on Financial Instruments
- (b) The service description set out in the Terms and Conditions booklet incorporates the service to be provided by Dolmen to me/us in its entirety, and for which I/we now hereby apply.
- (c) The details provided in the Account Opening Document are a complete and accurate record of all information relevant and necessary to allow Dolmen to provide an execution only service to me/us.
- (d) I/We acknowledge that Dolmen will arrange for a nominee account to be opened with Pershing Securities International Limited on my/our behalf.

Client Acceptance

Signature

Print Name

Date

Joint Signature (where appropriate)

Print Name

Date



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7. Power of Attorney

To be completed only in the event that you wish to grant a limited power of attorney to another person to act on your behalf in respect of your Dolmen account.

I/We hereby grant the person nominated by me/us below a limited Power of Attorney, to receive information and to issue instructions to Dolmen in my/our place. Such Attorney will be bound by the Account Opening Document and Terms & Conditions booklet and the terms of any other documentation executed with Dolmen by me/us on my/our behalf.

Name of Attorney

Address of Attorney

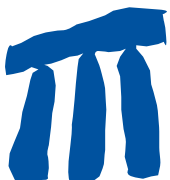
Tel Fax Email

In signing this acknowledgement I acknowledge that I will be bound by the Terms and Conditions booklet and the terms of any other documentation executed with Dolmen in respect of this account.

Signature of Attorney Date

Checklist

- Have you completed sections 1-5.
- Have you signed section 6.
- Have you included a certified copy of your Passport or Driving Licence.
- Have you provided two original/certified utility bills not more than six months old.
- If you wish to purchase shares and do not intend to wire monies into the account, please ensure you have included a cheque/draft made payable to Pershing Securities International Limited.
- If you are an existing client have you added in your Client Number on page 1.
Note: If you are an existing client and do not add your Client Number a second account will be opened and an annual account fee will be applicable to both accounts.
- Confirm existing account number (if applicable)



DOLMEN STOCKBROKERS

DUBLIN: 75 St. Stephen's Green, Dublin 2, Ireland. Tel : +353 1 633 3800. Fax : +353 1 633 3856/+353 1 633 3857
 CORK: Dolmen House, 45 South Mall, Cork. Tel: +353 21 422 2122.
 LIMERICK: Theatre Court, Lower Mallow Street, Limerick. Tel: +353 61 436500.
 email : info@dsl.ie web : www.dolmenstockbrokers.ie